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## Three-dimensional modeling of heat transfer during freezing of suspended and in-contact-with-a-surface yellow potatoes and ullucus

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## Abstract

he aim of this research was to model the heat transfer during the freezing process of cubed yellow potatoes (Solanum tuberosum L.) and ullucus (Ullucus tuberosus Caldas). A mathematical model was developed using the three-dimensional (3D) finite difference scheme to simulate the freezing process of suspended and in-contactwith-a-surface cubic particles. The thermophysical properties were predicted using the proximal composition and the convective heat transfer coefficient (h) was obtained by optimizing the root mean square error (RMSE) value. A pseudo h was included to simulate the heat transfer of cubic particles incontact-with-a-surface. Low values of h were found for suspended frozen cubes (17-27 W/m2C) and high values of pseudo h (295-371 W/m2C) were determined for frozen cubes incontact-with-a-surface. An excellent agreement was observed between experimental and predicted temperatures histories (RMSE:  $0.6-1.7^{\circ}C$ ) at different

thermocouples positions. In conclusion, the developed model simulated correctly the freezing profile of potato and ullucu in cubic shape. With this model, the possible effects of h and external temperature on freezing times of these vegetables under different positions were evaluated and were represented by polynomial equations that could be used in the industry.

# **Practical Applications**

In this research, a simple and easy-tomodel implement mathematical was developed to simulate the heat transfer during freezing process of yellow potatoes and ullucus in cubes. The 3D finite difference scheme developed can be used to simulate the freezing of suspended cubed food, as is the case of fluidized bed freezers, or that are in contact with a metal surface, as in plate freezers. In addition, this study presented polynomial equations that allow the quick and precise calculation of the freezing times of cubed yellow potatoes and ullucus.

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#### I | INTRODUCTION

The freezing process is the reducing of the temperature of food below its freezing point (James, Purnell, & James, 2015). Freezing is used for preserving food because it decreases the speed of physical, chemical, and sensory reactions (Wu, Zhang, Adhikari, & Sun, 2017; Xu, Zhang, Mujumdar, & Adhikari, 2017). Peru is a large exporter of fruits and vegetables, being the United States one of its most important markets (Meade, Baldwin, & Calvin, 2010). Peruvian frozen vegetables are highly valued in market due to their nutritional and functional components. Some of them are potatoes (Solanum tuberosum L.), ullucus (Ullucus tuberosus Caldas), mashuas (Tropaeolum tuberosum Ruiz & Pavón), and ocas (Oxalis tuberosa Molina) which are Andean tubers that have been widely investigated on its nutritional and functional properties (Campos et al., 2006; Campos, Chirinos, Gálvez Ranilla, & Pedreschi, 2018).

The commercial way to freeze vegetables in Peru is using a freezer plate or an IQF fluidized bed freezer where the vegetables are first cut into regular shapes to reduce their size. Then they are subjected to the blanching process and cooled quickly.

The correct mathematical modeling of heat transfer during food freezing is necessary to design and optimize the freezing process. The mathematical modeling of heat transfer in food freezing is a special challenge (Pham, 2006) because the freezing process is a nonlinear heat conduction phenomenon (Dima, Santos, Baron, Califano, & Zaritzky, 2014; Wang et al., 2007). Two mathematical methods can be employed to determinate the freezing time. One of them is the analytical model. This model is the modification and extension of the Plank equation (López-Leiva & Hallström, 2003), which shows problems due to unrealistic assumptions that are considered. The other one is the numerical method where it is possible to estimate the changes in temperature as a function of the physical characteristics of the food and its enthalpy change (Delgado & Sun, 2001). The advantage of numerical methods over simple equations is that effects of the phase change over a range of temperature, changing thermal properties, and heterogeneity of food products can be analyzed. Thus, numerical methods are good methods to analyze food freezing (Delgado & Sun, 2001; Dima et al., 2014; Wang et al., 2007). There are several numerical solutions to simulate heat transfer during freezing. Among the most used methods for food processing are finite difference method (FDM), finite element method, and finite volume method (Delgado & Sun, 2001; Pham, 2006; Zhao & Takhar, 2017).

The FDM has been widely used to simulate the heat transfer during freezing of foods because it is easy to program and it is useful when it comes to almost perfectly in regular shapes (Delgado & Sun, 2001; Pham, 2006). Even some recent research used the FDM to discretized the equations proposed in the method of lines to predict the freezing times for cylinders, spheres, and slab (Ferreira, 2017; Ferreira, Rojas, Souza, & Oliveira, 2016).

The first works reported in literature date back to 40 years ago. Cleland and Earle (1977, 1979), Cleland, Cleland, and Earle (1987), and Loeffen, Earle, and Cleland (1981) present different finite difference schemes to simulate heat transfer during freezing of slab, cylinders, spherical, and rectangular brick foodstuff based on temperature methods with temperature as the only dependent variable. Mannapperuma and Singh (1988) developed an explicit FDM, involving enthalpy formulation to predict temperature distribution during freezing of slabs, cylinders, spheres, two-, and threedimensional (3D) shapes. Pham (1985) proposed a FDM that combines enthalpy and temperature methods. The method was validated with experimental data of one dimension (1D). Tocci and Mascheroni (1995) developed two explicit FDMs to simulate simultaneous heat and mass transfer during freezing of meat balls. One scheme proposed was with constant mesh size, and the other was with equal volume elements. The authors reported that there were no significant differences between the results obtained with both numerical schemes tested. Saad and Scott (1997) evaluated the accuracy of two FDMs, comparing with an analytical solution and experimental data of codfish freezing (1D). The researchers conclude that the two-step FDM presented better accuracy than the Crank-Nicolson method. Wang et al. (2007) developed a 1D FDM using Crank-Nicolson scheme to simulate the freezing time of individual food. The method showed good results with reported freezing times of slice, cylindrical, and spherical foodstuffs. Norton, Delgado, Hogan, Grace, and Sun (2009) developed a 1D FDM based on the enthalpy method to simulate high pressure freezing of tylose, agar gel, and potatoes. This model is able to estimate the freezing time profile considering the shifting effect of pressure on the enthalpy curve, the initial freezing temperature, and the thermophysical properties of the food.

All the above-mentioned research used a convective heat transfer coefficient in general (h), without considering that h depends on many factors such as the direction of heat flow. This parameter is necessary for designing the freezing process and has also been found to be a major source of error in modeling the freezing process (EbrahimniaBajestan, Niazmand, Etminan-Farooji, & Ebrahimnia, 2012). Only a few studies consider the influence of different heat transfer coefficients related to the position of the food in the freezing system (Dima et al., 2014; Ebrahimnia-Bajestan et al., 2012). Therefore, the aim of this research was to develop a 3D model to simulate the heat transfer during freezing process of suspended and incontact-with-a-surface food, including different h values according to the position of the food in the freezing system.

#### 2 | MATERIALS AND METHOS 2.1. | Conditioning of the raw material and freezing process

In order to validate the mathematical model proposed, yellow potatoes (S. tuberosum L.), ullucus (U. tuberosus Caldas), and a model food system (agar gel 2%) were used. These two vegetables were selected because they are Peruvian foods with export potential to the American and European markets and the agar gel was selected because its composition is 99% water, and according to Norton et al. (2009), its thermal properties are known and could avoid the calculation error in the simulation.

The composition of potato and ullucu was determined in triplicate using Association of Official Analytical Chemists (2000) methods. The raw materials were washed, peeled and cut into cubes of  $2 \times 2 \times 2$  cm for yellow potatoes and  $1.5 \times 1.5 \times 1.5$  cm for ullucus.

The freezing process was performed in a tunnel freezer, something similar to industrial conditions can be obtained using this type of tunnel with cold air. Two thermocouples (Type K) were placed in the cubes (potatoes, ullucus, and agar gel), one at the center and one on the surface. The air temperature was  $-25^{\circ}$ C and the residence time was 50 min. The cubic particles were frozen in two positions. The first was suspended and the other supported on the surface of the freezer. The temperature was recorded every second with a multimeter connected to a personal computer. The accuracy of the equipment was of  $\pm 2^{\circ}$ C. All freezing experiments were conducted in triplicate.

# 2.2. | Thermophysical properties of frozen and unfrozen foods

The initial freezing temperature (Tf) of potato and ullucu was determined using the equation proposed by Boonsupthip, Sajjaanantakul, and Heldman (2009) (Equation 1).

$$\frac{1}{T_{\rm f}} = \frac{1}{T_{\rm fo}} - \frac{RM_{\rm w}}{\lambda} \ln \left| \frac{(X_{\rm u} - X_{\rm b})/M_{\rm w}}{(X_{\rm u} - X_{\rm b})/M_{\rm w} + \sum_{i} \left(\frac{X_{i}}{M_{i}}\right)} \right|,$$

where the unfrozen water mass (Xu) during the freezing process was considered using mass fractions and molecular weights of specific food components such as proteins, carbohydrates, minerals, and acids/bases; Tfo is the melting point of water (273.15 K); R is the ideal gas constant (8.314 kJ/kg mol K); Mw is the molecular weight of water (18.02 g/mol);  $\lambda$  is the mass enthalpy change for fusion or the latent heat of fusion for water (333.64 kJ/kg); Xi and Mi are mass and molecular weight of the dry solid components with significant impact on the initial freezing temperature of the food (Boonsupthip et al., 2009). According to Boonsupthip and Heldman (2007) and Boonsupthip et al. (2009), the key composition data needed in the equation are the solutes contributing molalities at or above 50 µmol/100 g food like low-molecular weight carbohydrates, minerals, and acids/bases.

The bound water (Xb), defined as water that does not freeze at  $-40^{\circ}$ C, was calculated using an empirical model proposed by Schwartzberg (1976) (Equation 2).

$$X_{\rm b} = a X_{\rm s}$$
,

where a is an experimental coefficient factor defined for specific types of food products and Xs is the summation of dry solid mass fractions of proteins, carbohydrates, lipids, ashes, and fibers. According to Schwartzberg (1976), the value of a vegetable is between 0.25 and 0.18; for practical purposes, in this research, the value of 0.18 was used for both vegetables.

Thermophysical properties such as thermal conductivity, specific heat, and density were calculated for frozen and unfrozen foods based on the composition according to Choi and Okos (1986) (Equation 3). Table 1 shows the full polynomial equations developed by Choi and

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Thermal property	Food component	Thermal property model
Thermal conductivity (W/m°C)	Protein	$k = 0.17881 + 1.1058 \times 10^{-3} \text{ T} - 2.7178 \times 10^{-6} \text{ T}^2$
	Lipids	$k = 0.18071 - 2.7604 \times 10^{-4} \text{ T} - 1.7749 \times 10^{-7} \text{ T}^2$
	Carbohydrate	$k = 0.20141 + 1.3874 \times 10^{-3} \text{ T} - 4.3312 \times 10^{-6} \text{ T}^2$
	Fiber	$k = 0.18331 + 1.2497 \times 10^{-3} \text{ T} - 3.1683 \times 10^{-6} \text{ T}^2$
	Ash	$k = 0.32962 + 1.4011 \times 10^{-3} \text{ T} - 2.9069 \times 10^{-6} \text{ T}^2$
	Water	$k = 0.57109 + 1.7625 \times 10^{-3} \text{ T} - 6.7063 \times 10^{-6} \text{ T}^2$
	Ice	$k = 2.2196 - 6.2459 \times 10^{-3} T + 1.0154 \times 10^{-4} T^2$
Density (kg/m <sup>3</sup> )	Protein	ho = 1.3299 $ imes$ 10 <sup>3</sup> – 5.1840 $ imes$ 10 <sup>-1</sup> T
	Lipids	ho = 9.2559 $ imes$ 10 <sup>2</sup> – 4.1757 $ imes$ 10 <sup>-1</sup> T
	Carbohydrate	$ ho$ = 1.5991 $ imes$ 10 $^3$ – 3.1046 $ imes$ 10 $^{-1}$ T
	Fiber	ho = 1.3115 $ imes$ 10 <sup>3</sup> – 3.6589 $ imes$ 10 <sup>-1</sup> T
	Ash	ho = 2.4238 $ imes$ 10 <sup>3</sup> – 2.8963 $ imes$ 10 <sup>-1</sup> T
	Water	$\rho$ = 9.9718 $\times$ 10^2 + 3.1439 $\times$ 10^{-3} T - 3.7574 $\times$ 10^{-3} T^2
	Ice	ho = 9.1689 $ imes$ 10 <sup>2</sup> -1.3071 $ imes$ 10 <sup>-1</sup> T
Specific heat (J/kg°C)	Protein	$c_{\rm p}$ = 2.0082 × 10 <sup>3</sup> + 1.2089 T - 1.2129 × 10 <sup>-3</sup> T <sup>2</sup>
	Lipids	$c_{\rm p}$ = 1.9842 × 10 <sup>3</sup> + 1.4733 T - 4.8008 × 10 <sup>-3</sup> T <sup>2</sup>
	Carbohydrate	$c_{\rm p} = 1.5488 \times 10^3 + 1.9625 \text{ T} - 5.9399 \times 10^{-3} \text{ T}^2$
	Fiber	$c_{\rm p}$ = 1.8459 × 10 <sup>3</sup> + 1.8306 T - 4.6509 × 10 <sup>-3</sup> T <sup>2</sup>
	Ash	$c_{\rm p}$ = 1.0926 × 10 <sup>3</sup> + 1.8896 T - 3.6817 × 10 <sup>-3</sup> T <sup>2</sup>
	Water (0 to 150°C)	$c_{\rm p}$ = 4.1762 × 10 <sup>3</sup> - 9.0864 × 10 <sup>-2</sup> T + 5.4731 × 10 <sup>-3</sup> T <sup>2</sup>
	Water (–40 to 0°C)	$c_{\rm p} = 4.0817 \times 10^3 - 5.3062 \ \text{T} + 9.9516 \times 10^{-1} \ \text{T}^2$
	Ice	$c_{\rm p} = 2.0623 \times 10^3 + 6.0769 \ {\rm T}$

TABLE 1 Thermal property equations for food components developed by Choi and Okos (1986)

Note: T is the food temperature.

Okos (1986). As the model food system contains a high amount of

water (99%), the thermophysical properties of water in liquid and solid state were used for the validation (Norton et al., 2009).

$$k = \sum_{i=1}^{n} k_i \frac{X_i/\rho_i}{\sum\limits_{i=1}^{n} X_i/\rho_i} c_p = \sum_{i=1}^{n} c_{pi} X_i \rho = \frac{1}{\sum\limits_{i=1}^{n} X_i/\rho_i},$$

where k is the thermal conductivity, cp is the effective specific heat, and  $\rho$  is the density of the food.

Once the thermophysical properties have been determined, the variation of thermal conductivity and the apparent specific heat during freezing were calculated using Equations (4) and (5) developed by Schwartzberg (1977). They were then introduced in the finite difference scheme proposed. Only the food density was considered constant for temperatures below and above the initial freezing temperature

$$\begin{cases} k = k_{f} + (k_{u} - k_{f}) \left(\frac{T_{fo} - T_{f}}{T_{fo} - T}\right), T \leq T_{f}, \\ k = k_{u}, T > T_{f}, \end{cases}$$

$$c_{p} = c_{pf} + (X_{w} - X_{b}X_{s}) \frac{\lambda (T_{fo} - T_{f})}{(T_{fo} - T)^{2}}, T \leq T_{f}, \end{cases}$$

 $c_{\rm p} = c_{\rm pu}, T > T_{\rm f},$ 

where kf and ku are the frozen and unfrozen thermal conductivity, respectively. Similarly, cpf and cpu are the frozen and unfrozen effective specific heat..

# 2.3. | Modeling heat transfer using 3D finite difference method

The mathematical model used to predict the freezing process of samples is based on Fourier's law and Fick's second law to calculate the unsteady 3D temperature inside the food in rectangular coordinates (x, y, and z) with temperature-dependent thermophysical food properties. The model is also based on certain assumptions related to the boundary conditions. The borders in contact with air had the same boundary conditions (h1x = h1y = h1z), while the border in-contact with-a-surface was under another boundary condition (h2x = h2z). Under these assumptions, the mathematical model for the unsteady

3D freezing process is

$$\rho(T)\mathsf{c}_\mathsf{p}(T)\frac{\partial T}{\partial \mathsf{t}} = \frac{\partial}{\partial x}\left(k(T)\frac{\partial T}{\partial x}\right) + \frac{\partial}{\partial y}\left(k(T)\frac{\partial T}{\partial y}\right) + \frac{\partial}{\partial z}\left(k(T)\frac{\partial T}{\partial z}\right).$$

The initial and boundary conditions are

$$\begin{split} T_x &= T_y = T_z = T_i, t = 0, \\ &- k \frac{\partial T}{\partial x} \bigg|_{L=x} = h_{1x} \big[ T_\infty - T_{(x=L)} \big], t > 0, \frac{L}{2} < x < L, \end{split}$$

$$\begin{aligned} -k \frac{\partial T}{\partial y}\Big|_{L=y} &= h_{1y} \left[ T_{\infty} - T_{(y=L)} \right], t > 0, \frac{L}{2} < y < L, \\ -k \frac{\partial T}{\partial z}\Big|_{L=z} &= h_{1z} \left[ T_{\infty} - T_{(z=L)} \right], t > 0, \frac{L}{2} < z < L, \\ -k \frac{\partial T}{\partial x}\Big|_{L=x} &= h_{2x} \left[ T_{\infty} - T_{(x=L)} \right], t > 0, 0 < x < \frac{L}{2}, \\ -k \frac{\partial T}{\partial z}\Big|_{L=z} &= h_{2z} \left[ T_{\infty} - T_{(z=L)} \right], t > 0, 0 < x < \frac{L}{2}. \end{aligned}$$

The numerical modeling of heat transfer was performed only for 1/4 of the whole cube volume (shaded volume in Figure 1), as thermal symmetry about the geometric center of particle exists due to the same boundary conditions at all surfaces for the case of the suspended cube (h1x = h1y = h1z). In the same way, the modeling was performed for the cube in contact with the surface but considering pseudo boundary conditions (h2x = h2z).

An explicit finite difference scheme was used to solve Equations (6) and (7) for cubed food products.

The scheme developed was written in programming language Visual Basic 2015 (Microsoft Corporation) using energy balance method. Total of 7 nodes in the x-axis, 13 nodes in the y-axis, and 7 nodes in the z-axis were used for a cubic particle, with a time step of 0.125 s. Four types of nodes were programmed: one type of internal node and three types of external nodes. The internal nodes were connected with six nodes and the external nodes were connected with five, four, and three nodes, as appropriate. A similar proposal was developed by the authors to stimulate the blanching process of cubic particle (Vidaurre-Ruiz & Salas-Valerio, 2017).

The temperature for each time step for internal nodes, Tti,+1j,k, was calculated as follows:

$$\begin{split} \frac{T_{i,k}^{t+1} - T_{i,j,k}^{t}}{\Delta t} &= \frac{k(T)}{\rho(T)c_{p}(T)} \\ \left(\frac{T_{i+1,j,k}^{t} - 2T_{i,j,k}^{t} + T_{i-1,j,k}^{t}}{\Delta x^{2}} + \frac{T_{i,j+1,k}^{t} - 2T_{i,j,k}^{t} + T_{i,j-1,k}^{t}}{\Delta y^{2}} + \frac{T_{i,j,k+1}^{t} - 2T_{i,j,k}^{t} + T_{i,j,k-1}^{t}}{\Delta z^{2}}\right), \end{split}$$
(8)

$$T_{i,j,k}^{t+1} = (1 - F1 - F2 - F3 - F4 - F5 - F6)T_{i,j,k}^{t} + F1(T_{i+1,j,k}^{t}) + F2(T_{i-1,j,k}^{t}) + F3(T_{i,j+1,k}^{t}) + F4(T_{i,j-1,k}^{t}) + F5(T_{i,j,k+1}^{t}) + F6(T_{i,j,k-1}^{t}),$$
(9)

where

$$\begin{split} F1 &= \frac{k_{j+1/2}\Delta t}{\rho c_{p}\Delta x^{2}}; F2 = \frac{k_{j-1/2}\Delta t}{\rho c_{p}\Delta x^{2}}; F3 = \frac{k_{j+1/2}\Delta t}{\rho c_{p}\Delta y^{2}}; F4 = \frac{k_{j-1/2}\Delta t}{\rho c_{p}\Delta y^{2}}; \\ F5 &= \frac{k_{k+1/2}\Delta t}{\rho c_{p}\Delta z^{2}}; F6 = \frac{k_{k-1/2}\Delta t}{\rho c_{p}\Delta z^{2}}. \end{split}$$



FIGURE1 An example of node generation for a mesh of 3 × 5 × 3 of a cubic particle in-contact-with-a-surface

$$\begin{split} k_{i+1/2} &= \frac{T_{i,j,k}^t - T_{i+1,j,k}^t}{2}; k_{i-1/2} = \frac{T_{i,j,k}^t - T_{i-1,j,k}^t}{2}; \\ k_{j+1/2} &= \frac{T_{i,j,k}^t - T_{i,j+1,k}^t}{2}; k_{j-1/2} = \frac{T_{i,j,k}^t - T_{i,j-1,k}^t}{2}; \\ k_{k+1/2} &= \frac{T_{i,j,k}^t - T_{i,j,k+1}^t}{2}; k_{k-1/2} = \frac{T_{i,j,k}^t - T_{i,j,k-1}^t}{2}. \end{split}$$

The temperature for each time step for external nodes, with five connections,  $T_{i,j,k}^{t+1}$ , was calculated as follows:

$$\begin{split} \rho c_{\mathsf{p}} \mathsf{V}_{1} \frac{T_{i,j,k}^{t+1} - T_{i,j,k}^{t}}{\Delta t} = \mathsf{h} \mathsf{A}_{1} \Big( T_{\infty} - T_{i,j,z}^{t} \Big) + \mathsf{k} \mathsf{A}_{1} \frac{T_{i+1,j,k}^{t} - T_{i,j,k}^{t}}{\Delta x} \\ + \mathsf{k} \mathsf{A}_{1} \frac{T_{i-1,j,k}^{t} - T_{i,j,k}^{t}}{\Delta x} + \mathsf{k} \mathsf{A}_{1} \frac{T_{i,j+1,k}^{t} - T_{i,j,k}^{t}}{\Delta y} \\ + \mathsf{k} \mathsf{A}_{1} \frac{T_{i,j-1,k}^{t} - T_{i,j,k}^{t}}{\Delta y} + \mathsf{k} \mathsf{A}_{1} \frac{T_{i,j,k-1}^{t} - T_{i,j,k}^{t}}{\Delta z}, \end{split}$$

$$\begin{split} T_{i,j,k}^{t+1} &= (1-F7N_{\text{Bi}}-4F7-F8)T_{i,j,k}^t+F7N_{\text{Bi}}(T_{\infty}) \\ &+ F7\Big(T_{i+1,j,k}^t+T_{i-1,j,k}^t+T_{i,j+1,k}^t+T_{i,j-1,k}^t\Big)+F8\Big(T_{i,j,k-1}^t\Big), \end{split}$$

Where

$$F7 = \frac{\alpha \Delta t}{\Delta x^2/2}, F8 = \frac{k_{k-1/2} \Delta t}{\rho c_p \Delta z^2/2}, \text{ and } N_{\text{Bi}} = \frac{\Delta x h}{k},$$

considering that  $\Delta x = \Delta y = \Delta z$ .

And

$$k_{k-1/2} = \frac{T_{i,j,k}^t - T_{i,j,k-1}^t}{2}.$$

The temperature for each time step for external nodes, with four connections,  $T_{i,j,k}^{t+1}$ , was calculated as follows:

$$\begin{split} \rho c_{\mathrm{p}} \mathsf{V}_2 \frac{T_{i,j,k}^{t+1} - T_{i,j,k}^t}{\Delta t} &= 2 \left( h \mathsf{A}_2 \left( T_\infty - T_{i,j,z}^t \right) \right) + k \mathsf{A}_2 \frac{T_{i-1,j,k}^t - T_{i,j,k}^t}{\Delta x} \\ &+ k \mathsf{A}_2 \frac{T_{i,j+1,k}^t - T_{i,j,k}^t}{\Delta y} + k \mathsf{A}_2 \frac{T_{i,j-1,k}^t - T_{i,j,k}^t}{\Delta y} + k \mathsf{A}_2 \frac{T_{i,j-1,l}^t - T_{i,j,k}^t}{\Delta z}, \end{split}$$

where  $F9 = \frac{a\Delta t}{\Delta x^2/2}$  and  $N_{\text{Bi}} = \frac{\Delta x h}{k}$ , considering that  $\Delta x = \Delta y = \Delta z$ .

The temperature for each time step for external nodes, with three connections,  $T_{i,j,k}^{t+1}$  was calculated as follows:

$$\begin{split} \rho c_{p} V_{3} \frac{T_{i,j,k}^{t+1} - T_{i,j,k}^{t}}{\Delta t} &= 3 \left( hA_{3} \left( T_{\infty} - T_{i,j,z}^{t} \right) \right) + kA_{3} \frac{T_{i-1,j,k}^{t} - T_{i,j,k}^{t}}{\Delta x} \\ &+ kA_{3} \frac{T_{i,j-1,k}^{t} - T_{i,j,k}^{t}}{\Delta y} + kA_{3} \frac{T_{i,j,k-1}^{t} - T_{i,j,k}^{t}}{\Delta z}, \\ T_{i,j,k}^{t+1} &= (1 - 3F10N_{\text{Bi}} - 3F10)T_{i,j,k}^{t} + 3F10N_{\text{Bi}}(T_{\infty}) \end{split}$$

+ 
$$F10(T_{i-1,j,k}^t + T_{i,j-1,k}^t + T_{i,j,k-1}^t)$$

where  $F10 = \frac{a\Delta t}{\Delta x^2/2}$  and  $N_{Bi} = \frac{\Delta x h}{k}$ , considering that  $\Delta x = \Delta y = \Delta z$ .

In all cases, for  $T_{i,j,k}^{t+1} \leq T_f$ , k is obtained by substitution of temperature in Equation (4) an Cp is obtained by substitution of temperature in Equation (5). For  $T_{i,j,k}^{t+1} \geq T_f$ ,  $k = k_u$  and  $c_p = c_{pu}$ .

# 2.4. | Estimation of the convective heat transfer coefficient (h)

The convective heat transfer coefficient for suspended (h1x = h1y = h1z) and in-contact-with-a-surface (h1x = h1y = h1z and h2x = h2z) cubed food were estimated by optimization of the root mean squares error (RMSE) of the experimental and predicted time-temperatures data (Equation 16).

Different freezing curves were simulated using the thermophysical properties previously calculated for each sample (Table 3), the external temperature (Tm) of  $-25^{\circ}$ C, and different h values. For the case of the suspended cube, the h1 values (h1x = h1y = h1z) were tested in the range of 15–25 W/m2°C, and for the case of the cube in-contact-with-a-surface, the value of h1 (h1x = h1y = h1z) were tested in the range of 15–25 W/m2°C and h2 (h2x = h2z) from 250 to 350 W/m2°C; in this case, the central composite design was used, in order to obtain simultaneously the optimal values of h1 and h2. The statistical analysis was performed with Statgraphics Centurion XVI software (Statpoint Technologies, Inc., Warrenton, VA).

$$\mathsf{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (T - T_{\text{simulation}})^2}.$$

#### 3. | RESULTS AND DISCUSSION

# 3.1. | Composition and thermophysical properties of raw materials

Potatoes and ullucus are tubers with high contents of water (Table 2). Therefore, some thermal properties were very similar to the thermal properties of water. The composition of potatoes and ullucus were different in the content of monosaccharides, disaccharides, and minerals. According to Boonsupthip et al. (2009), these components are important in the prediction of the used model. The initial freezing temperature of the potato was lower than the ulluco (Table 3). Similar values were reported by Rahman, Machado-Velasco, Sosa-Morales, and Velez-Ruiz (2009). As for ullucus, up to now, there had not been values reported in literature.

As it was expected, the other thermophysical properties like density and specific heat decreased when the food was frozen, while the thermal conductivity increased almost four times due to the high water content in the food matrix (Table 3).

#### 3.2. | Mathematical model validation

The best fit between simulation and experimental data of suspended cubed yellow potatoes was achieved using 17 W/m2°C. The RMSE

TABLE 2 Proximal composition of potato and ullucu per 100 g

Component	Potatoes	Ullucus
Water	77.90 ± 0.87	83.70 ± 1.01
Protein	$2.40 \pm 0.01$	$1.10 \pm 0.03$
Lipids	$1.10 \pm 0.03$	$0.10 \pm 0.01$
Total carbohydrates	$15.45 \pm 0.02$	$14.30 \pm 0.03$
Monosaccharides	$1.30 \pm 0.01$	$0.04 \pm 0.01$
Disaccharides	$3.00 \pm 0.02$	$0.01 \pm 0.00$
Fiber	$2.70 \pm 0.01$	$0.80 \pm 0.02$
Minerals	$0.55 \pm 0.01$	0.80 ± 0.03
Acids/bases	$0.74 \pm 0.01$	$0.63 \pm 0.02$

was  $2.2 \pm 1^{\circ}$ C for the center point and  $1.6 \pm 0.5^{\circ}$ C for the external point (Figure 2).

For suspended cubed ullucus, the best fit was achieved using 19 W/m2°C and the minimum value of RMSE was  $0.59 \pm 0.2°$ C for the center point. For incontact-with-a-surface cubed ullucus, the best fit was achieved using 20.4 W/m2°C for h1x = h1y = h1z and 294.9 W/m2°C for h2x = h2z. The minimum value of RMSE was around of 1.3 ± 0.2°C for both types of cubes (Figure 3).

Similar values were determined for suspended cubes of agar gel. The best fit was achieved using 22 W/m2°C and for h1x = h1y = h1z. The minimum value of RMSE was 1.7  $\pm$  0.3°C for the center point. For incontact-with-a-surface cubes of agar gel, the best fit was achieved using 27 W/m2°C for h1x = h1y = h1z and 370.7 W/m2°C for h2x = h2z. The minimum value of RMSE was around of 1.2  $\pm$  0.4°C for both types of cubes (Figure 4).

A good agreement between experimental and predicted values of temperature is shown using the numerical model for suspended and incontactwith-a-surface cubic particles. Few studies consider that there is a contact heat transfer coefficient. Dima et al. (2014) proposed a mathematical model to simulate the freezing process of crab meat packaged in pouches and crab claws. The authors considered two heat transfer coefficients, determined in an industrial tunnel freezer. The h values for pouches were h1 (product-air) = 10 W/m2°C and h2 (belt-product) = 50 W/m2°C, and for crab claws the h values were h1 = 20 and h2 = 500 W/m2°C. Although h depends on many factors and is a difficult parameter to calculate directly (Fricke & Becker, 2006), it is necessary to achieve a good prediction in the simulation. Like the thermophysical properties, these parameters are tedious and complex to determinate directly from foods in the freezing temperature range (Cornejo, Cornejo, Ramírez, Almonacid, & Simpson, 2016). However, the procedure proposed in this work can be used to determine the thermophysical properties of the heat transfer properties of tubers with good precision and useful for the simulation of the freezing process.

#### 3.3. | Equations to evaluate the effects of h and external temperature on freezing times

In order to develop equations that simplify the prediction of the freezing time of cubes, freezing profiles were simulated under different operating conditions varying the air temperature and h (h1x = h1y = h1z), which depends to a large extent on the speed of the air.

Tables 4 and 5 show the freezing times need for the center point in cubed yellow potatoes and ullucus to reach a final value of  $-15^{\circ}$ C, being the initial temperature of the cubes 20°C and considering different external temperatures and heat transfer coefficients at the interface product air. For the case of cubes in-contact-with-a-surface, h2x = h2z was assigned a fixed value of 295 W/m2°C.

Data from Tables 4 and 5 were used in order to obtain simple equations that can be industrially applied to assess the effects of the external temperature and h on freezing times of suspended or incontact-with-a-surface cubed yellow potatoes and ullucus (Equations 17-20). The forward stepwise regression method was used to develop polynomial equations in order to predict freezing times to reach -15°C in the warmest points as functions of the external temperature in the equipment (Tm, °C) and the heat transfer coefficient (h1x = h1y = h1z,  $W/m2^{\circ}C$ ). Good values of coefficients of determination (R2) were observed between the freezing times estimated by FDM proposed and the freezing times estimated by polynomial equations.

#### FIGURE 2

(a) Experimental and predicted temperature history during freezing process of suspended cubed yellow potatoes (2 × 2 × 2 cm) using finite difference scheme proposed.

(b) Determination of h1x = h1y = h1z for suspended cubed yellow potatoes by optimization of RMSE. (c) Position of thermocouples (central, blue points, and external point, red points) during freezing process of suspended cubed yellow potatoes







#### FIGURE 3

(a) Experimental and predicted temperature history during freezing process of suspended (red points) and incontactwith-a-surface cubed ullucus (blue points) (1.5 × 1.5 × 1.5 cm) using finite difference scheme proposed. (b) Determination of h1x = h1y = h1z for suspended cubed ullucus by optimization of RMSE. (c) Determination of h1x = h1y = h1z and h2x =h2z for incontact-with-asurface cubed ullucus by optimization of the minimum value of RMSE



Thermophysical properties	Potato	Ullucu	Agar-agar <sup>a</sup>
Bound water factor	0.18	0.18	-
Unfreezable water (%)	2.10	4.88	-
Initial freezing temperature (°C)	-0.98	-0.67	0.00
ho unfrozen (kg/m <sup>3</sup> )	1,160.58	1,053.49	997.10
$\rho$ frozen (kg/m <sup>3</sup> )	1,083.55	987.70	919.60
k unfrozen (W/m°C)	0.57	0.56	0.58
k frozen (W/m°C)	2.22	2.09	2.45
c <sub>p</sub> unfrozen (J/kg°C)	3,445.73	3,770.98	4,180.00
c <sub>p</sub> frozen (J/kg°C)	1,835.48	2,092.84	1,913.00

TABLE 3 Thermophysical properties potato, ullucu, and agar gel

<sup>a</sup>Thermal properties of water.

For suspended cubed potatoes,  $-40^{\circ}C \le T_m \le -25^{\circ}C$ , and  $15 \le h \le 30 \text{ W/m}^2^{\circ}C$ .

$$F_t (\min) = 156.73 - 4.511 (h_1) + 3.522 (T_m) + 0.05083 (h_1)^2 + 0.02950 (T_m)^2 - 0.03441 (h_1 T_m),$$
(17)

with  $R^2 = 0.9981$ .

$$\label{eq:Formula} \begin{split} & \text{For} \quad \text{in-contact-with-a-surface} \quad \text{cubed} \quad \text{potatoes,} \\ & -40^\circ\text{C} \leq T_m \leq -25^\circ\text{C}, \text{ and } 15 \leq h \leq 30 \ \text{W/m}^{2\circ}\text{C}. \end{split}$$

$$F_{t} (min) = 86.36 - 1.673 (h_{1}) + 2.222 (T_{m}) + 0.01650 (h_{1})^{2} + 0.01992 (T_{m})^{2} - 0.01544 (h_{1}T_{m}),$$
(18)

**TABLE 4**Effects of heat transfer coefficients  $h_{1x} = h_{1y} = h_{1z}$  $(W/m^{2\circ}C)$  and external temperatures  $(T_m)$  on freezing times (min) of<br/>cubed yellow potatoes suspended and in-contact-with-a-surface $(2 \times 2 \times 2 \text{ cm})$ , considering  $T_i = 20^{\circ}C$  and a final temperature<br/>of  $-15^{\circ}C$ 

Cube of ye	ellow potato sus	spended		
	$h_{1x} = h_{1y} = h_{1z} (W/m^{2} C)$			
<b>T</b> <sub>m</sub> (°C)	15	20	25	30
-25	44.42 min	33.9 min	27.55 min	23.35 min
-30	36.68	27.98	22.8	19.25
-40	27.32	20.88	16.93	14.28
Cube of ye	Cube of yellow potato in-contact-with-a-surface			
	$h_{1x} = h_{1y} = h_{1z} (W/m^{2\circ}C)$			
	$n_{1x} = n_{1y} = n_1$	<sub>z</sub> (W/m <sup>-</sup> ℃)		
T <sub>m</sub> (°C)	$\frac{n_{1x} = n_{1y} = n_1}{15}$	20	25	30
<b>T<sub>m</sub> (°C)</b> −25	$n_{1x} = n_{1y} = n_{1}$ 15 28.12 min	20 23.8 min	25 21.27 min	30 19.55 min
T <sub>m</sub> (°C) −25 −30	n <sub>1x</sub> = n <sub>1y</sub> = n <sub>1</sub> 15 28.12 min 22.92	20 23.8 min 19.95	25 21.27 min 17.97	30 19.55 min 16.32

#### with $R^2 = 0.9969$ .

For suspended cubed ullucus,  $-40^{\circ}C \le T_m \le -25^{\circ}C$ , and  $15 \le h \le 30 \text{ W/m}^{2\circ}C$ .

$$Ft (min) = 127.70 - 3.660(h_1) + 2.995(T_m) + 0.041(h_1)^2 + 0.02567(T_m)^2 - 0.02915(h_1T_m),$$
(19)

with R<sup>2</sup> = 0.9979.

**TABLE 5** Effects of heat transfer coefficients  $h_{1x} = h_{1y} = h_{1z}$  (W/m<sup>2</sup>°C) and external temperatures ( $T_m$ ) on freezing times of cubed ullucus suspended and in-contact-with-a-surface ( $1.5 \times 1.5 \times 1.5$  cm) considering  $T_i = 20^{\circ}$ C and a final temperature of  $-15^{\circ}$ C

Cube of u	lucu suspended			
	$h_{1x} = h_{1y} = h_{1z} (W/m^{2\circ}C)$			
<b>T</b> <sub>m</sub> (°C)	15	20	25	30
-25	34.65 min	26.2 min	21.17 min	17.82 min
-30	28.2	21.33	17.23	14.52
-40	20.67	15.63	12.63	10.65
Cube of u	lucu in-contact	-with-a-surface		
Cube of u	lucu in-contact $h_{1x} = h_{1y} = h_1$	-with-a-surface <sub>z</sub> (W/m <sup>2</sup> °C)	•	
Cube of ul T <sub>m</sub> (°C)	$\frac{h_{1x} = h_{1y} = h_1}{15}$	-with-a-surface <sub>iz</sub> (W/m <sup>2</sup> °C) 20	25	30
Cube of ul T <sub>m</sub> (°C) -25	lucu in-contact $\frac{h_{1x} = h_{1y} = h_1}{15}$ 19.93 min	-with-a-surface <sub>z</sub> (W/m <sup>2</sup> °C) 20 17.6 min	25 15.35 min	30 13.83 min
Cube of ul T <sub>m</sub> (°C) −25 −30	lucu in-contact $\frac{h_{1x} = h_{1y} = h_1}{15}$ 19.93 min 16.38	-with-a-surface ,z (W/m <sup>2</sup> °C) 20 17.6 min 14.1	25 15.35 min 12.62	30 13.83 min 11.45

For in-contact-with-a-surface cubed ullucus,  $-40^{\circ}C \le T_m \le -25^{\circ}C$ , and  $15 \le h \le 30 W/m^{2\circ}C$ .

$$F_{t} (min) = 65.65 - 1.1136 (h_{1}) + 1.879 (T_{m}) + 0.00939 (h_{1})^{2} + 0.01833 (T_{m})^{2} - 0.01164 (h_{1}T_{m}),$$
(20)

with  $R^2 = 0.9992$ .

#### 4 | CONCLUSIONS

A mathematical model was developed to simulate the heat transfer during the freezing process of 3D cubes using the FDM. The proposed algorithm used the composition of the food to determine the thermophysical properties of potatoes and ullucus, which were included in the computer program written in Visual Basic language. The mathematical model was developed to simulate the heat transfer during the freezing process of suspended and incontact-with-a-surface cubes. The numerical solution was validated using cubes of yellow potatoes, ullucus, and agar gel, which were frozen suspended and in-contact-with-a-surface. The experimental data showed the need to include, in the numerical solution, a pseudo convective coefficient (294.9-370.7 W/m2°C) in order to simulate the heat transfer during freezing process of cubic particles in-contact-with-a-surface. A good agreement was found between experimental and simulated temperatures using the model developed (RMSE < 2°C). The numerical model developed can be applied to simulate the freezing process of other tubers and thus it can help in the optimization and control of the process.

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